

# METHOD OF AUTHENTICATING USER ACCESS TO NETWORK STATIONS

## BACKGROUND OF THE INVENTION

### 1. Field of the Invention

The present invention relates to a method of authenticating user access to network stations, especially to an authentication method making use of a net entry apparatus possessing a cryptography security mechanism to establish two-way communication with an authentication server and an application server through a host computer, whereby a machine-independent token is generated and sent to the application server for verification of a user ID to control access to specific network station for on-line transactions. This authentication system is able to enhance Internet security by obviating the input of user IDs and passwords by users, thus freeing users from having to memorize many different passwords and minimizing the risk of account numbers and passwords being stolen.

### 2. Description of Related Arts

Internet services are expanding rapidly because the Internet technology has created an information super highway across national and geographical boundaries. Network users are able to conduct a variety of on-line transactions through network computers, notebook computers, and the latest cellular phones, realizing the dream of virtual offices and real-time transactions through the Internet.

Many kinds of network services have been developed over the past years, such as electronic commerce, electronic shopping, network games, and network financial services. However, these new forms of network activities also give rise to

1 network crimes and security problems. As an example, network games have  
2 gained wide popularity in the Asian region, but the crime rate of stealing account  
3 numbers and passwords is also rising fast. The perpetrators are somehow able to  
4 intercept the personal information of game players through the network  
5 connections, no matter the players are playing at home or in a network café. Thus  
6 far, there has been no effective means to prevent the stealing of account numbers  
7 and passwords.

8         In network financial services, many people have used on-line services  
9 offered by financial institutions to handle their personal financial affairs for work  
10 efficiency and to gain access to the resources available on the Internet. These on-  
11 line services range from network banking, transfers of funds, payment of utility  
12 bills, to stock transactions. Nevertheless, for all these services, users still need to  
13 apply for the right to access the network services by filling out many personal data  
14 forms to verify their user IDs. Furthermore, users have to enter their user IDs  
15 and passwords each time when they want to gain access to the network stations. In  
16 some ways, users may have to take the risk of exposing their personal information  
17 to other persons in the process of inputting user IDs and passwords.

18         At present, most software programs of network banking are installed with  
19 SSL 128-bit high compression security encryption and are certified by  
20 international institutions to enhance Internet security. Yet, in many instances, the  
21 user's operation to gain access to the network services is not very user friendly.  
22 For ease in memorization, many users simply use one set of password and user ID  
23 for all network accounts. If a perpetrator is able to steal that set of user ID and  
24 password, then the thief can break into all network accounts with the same user ID

1 without further checks. On the other hand, if the user sets up different user ID and  
2 passwords for different accounts, then this will require memorization of many  
3 numbers, which might not be easy as the opportunity of using user IDs and  
4 passwords to access network services gets higher every day. Therefore, the public  
5 demands a more user-friendly operation to access network stations.

## 6 SUMMARY OF THE INVENTION

7 The main object of the present invention is to provide a method of  
8 authenticating user access to network stations for on-line transactions, obviating  
9 the input of user IDs and passwords by users, yet ensuring Internet security.

10 To this end, the instrumentalities of the present invention include a two-  
11 stage authentication process. The first-stage authentication includes the  
12 establishing of two-way communication between a net entry apparatus possessing  
13 the cryptography security mechanism and an authentication server through a host  
14 computer, whereby the authentication server generates a network key after  
15 verifying the identity of the net entry apparatus, comprising the steps of

16 activating the user ID authentication mechanism;

17 reading off the basic data or user ID of the net entry apparatus by a host  
18 computer and sending them to the authentication server;

19 sending a random number test key, by the authentication server, back to  
20 the net entry apparatus within a preset time, and keeping a copy of the random  
21 number test key in the authentication server;

22 encrypting the received test key with a private key embedded in the net  
23 entry apparatus and then sending the encrypted data back to the authentication

1 server;  
2 retrieving the other copy of the random number test key for encryption  
3 with a symmetrical copy of the private key by the authentication server and  
4 comparing it with the encrypted data received from the host computer; if the two  
5 test keys correspond with each other, the authentication server then generates a  
6 network key.

7 The second-stage authentication starts after the generation of the network  
8 key, comprising the steps of:

9 encrypting a token with the network key, by the authentication server, and  
10 then sending the encrypted token to the host computer;

11 sending the encrypted token, by the host computer, to an application  
12 server for intended on-line transactions;

13 receiving the encrypted token, by the application server, and passing it to  
14 the authentication server for verification;

15 decrypting the token received, by the authentication server, and then  
16 comparing it with the original token; and

17 informing the application server that the user ID is valid, if the tokens  
18 correspond with each other; otherwise the user ID is invalid if the tokens do not  
19 match.

20 The second object of the present invention is to provide a net entry  
21 apparatus having the capability of creating cryptography security, comprising:

22 a microprocessor for internal computation;

23 a connection interface for linking up with the host computer;

1           an encryption unit for generating encrypted data; and  
2           a system memory for temporarily saving of a user ID from the net entry  
3 apparatus and the random number test key.

4           The above-mentioned microprocessor, in accordance with the present  
5 invention, is equipped with RISC capability.

6           The above-mentioned connection interface, in accordance with the present  
7 invention, has a USB 1.1 interface.

8           The above mentioned encryption unit, in accordance with the present  
9 invention, employs a high compression security standard of AES 128-256 bits or a  
10 regular security standard complying with RSA, DES, 3DES, MD5, MD2, and  
11 SHA-1.

12          The above mentioned system memory, in accordance with the invention,  
13 can be formed by read-only memory, dynamic random access memory, and  
14 erasable programmable read-only memory.

15          The features and structure of the present invention will be more clearly  
16 understood when taken in conjunction with the accompanying figures.

#### 17 BRIEF DESCRIPTION OF THE DRAWINGS

18          Fig. 1 system architecture of a possible implementation of the present  
19 invention;

20          Figs. 2-4 show a flow chart of the authentication process of the present  
21 invention; and

22          Fig. 5 is a block diagram of a net entry apparatus for the present invention.

## 1    DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

2            The architecture of the authentication system, as shown in Fig. 1 includes  
3    a host computer (10), an authentication server (20), an application server (30) and  
4    a net entry apparatus (40). The authentication process is activated when the  
5    application server (30) needs to verify the user ID, whereby the net entry apparatus  
6    (40) possessing the cryptography security mechanism is connected to the  
7    authentication server (20) through the host computer (10).

8            The host computer (10) is used to establish two-way communication with  
9    the authentication server (20) through the network connection to obtain a network  
10   key after successful verification of the user ID. In the process, a token is generated  
11   by a dynamic process, which is then passed to the application server (30). The  
12   application server (30) is a network station on the Internet to which the user  
13   intends to gain access. The net entry apparatus (40) is also linked with the  
14   application server (30) through the host computer (10) for verifying the token sent  
15   by the host computer (10).

16           The authentication is a two-stage process. The first stage authentication  
17   process, as shown by Figs. 2-4, includes the steps of:

18           activating the authentication mechanism, by the authentication server (20),  
19   when a user attempts to gain access to a network station or application server (30)  
20   with a net entry apparatus (40) (201);

21           reading off the basic data or user ID of the net entry apparatus (40), by the  
22   host computer (10), and sending the user ID over the Internet to the  
23   authentication server (20) (202);

24           sending out a random number test key to the net entry apparatus (40), by

1 the authentication server (20), on receiving the user ID of the net entry apparatus  
2 (40), within a preset time, and keeping a copy in the authentication server (20)  
3 (203), wherein the contents of the random number test key are created by a  
4 random process;

5         encrypting the received random number test key, by the net entry  
6 apparatus (40), with an embedded private key, after the host computer (10) has  
7 received the random number test key from the authentication server (20), and  
8 sending the encrypted random number test key back to the authentication server  
9 (20) (204); wherein the above net entry apparatus (40) can employ a high  
10 compression security standard of AES 128-256 bits or a regular security standard  
11 complying with RSA, DES, 3DES, MD5, MD2, and SHA-1;

12         retrieving an own copy of a random number test key for encryption with a  
13 symmetrical private key, by the authentication server (20), and then comparing it  
14 with the encrypted random number test key sent from the host computer (10); and  
15 then generating a network key dynamically, by the authentication server (20), if  
16 the two test keys correspond with each other (205), wherein each network key is  
17 unique and will be automatically deleted after a certain time.

18         The above-mentioned process represents the first stage authentication of  
19 user identification conducted between the host computer (10) and the  
20 authentication server (20). The second stage authentication starts after the  
21 generation of the network key, as shown in Figs. 2-4, including the steps of:

22         encrypting a token with the network key, by the authentication server (20),  
23 and passing the encrypted token to the host computer (10) (206);

24         receiving the encrypted token, by the host computer (10), and passing it to

1 the application server (30) intended to gain access for on-line transactions (207);  
2 passing the received token to the authentication server (20), by the  
3 application server (30), for verification (208);  
4 decrypting the returned token, by the authentication server (20) (209);  
5 comparing the decrypted token with the original token (210);  
6 sending a message to the application server (30) notifying that the user ID  
7 is valid, if the two tokens correspond with each other (211); otherwise, the user ID  
8 is invalid, if the two tokens do not match (212).

9 The important feature of the present invention is that the user requesting  
10 access to an application server (30) for on-line transactions does not need to input  
11 a user ID and password in the authentication process; instead, only a net entry  
12 apparatus (40) has to be used to link up with a host computer (10), through which  
13 a two-way communication is established with the authentication server (20) and  
14 the application server (30). The authentication mechanism is activated by the  
15 application server (30) that needs to verify the user ID of the net entry apparatus  
16 (40), which is connected to the authentication server (20) through the host  
17 computer (10). In the authentication process, a set of test key, network key and  
18 token is generated by the authentication server (20) and passed back to the host  
19 computer (10). One copy of the token is issued to the application server (30)  
20 through the host computer (10), and the other copy is kept by the authentication  
21 server (20). When the application server (30) receives the token, the application  
22 server (32) returns the token to the authentication server (20) for verification. Then,  
23 the authentication server (20) retrieves the original token to compare with the  
24 returned token. Then, the application server (30) is notified of the validity of the



1 user ID.

2           Since the user does not need to input the user ID and password when  
3 trying to access the network station or application server, the authentication system  
4 can prevent stealing or intercepting of user IDs and passwords by unauthorized  
5 persons.

6           When the user attempts to gain access to a different network station, the  
7 above mentioned authentication process will be performed all over again, and a  
8 new set of random number test key, network key and token will be generated in  
9 another authentication process, but the user does not need to use different user IDs  
10 and passwords to operate network accounts on different systems. The  
11 authentication system has the advantages of freeing users from having to  
12 memorize many different numbers and preventing the stealing of user IDs and  
13 passwords for criminal purposes.

14           The above-mentioned net entry apparatus (40) can be implemented as  
15 shown in Fig. 5, comprising:

16           a microprocessor (41) for encryption of data, being equipped with RISC  
17 capability, but it can also be implemented with a low-end processor to reduce  
18 costs;

19           a connection interface (42) having a USB 1.1 interface for linking with a  
20 host computer (10);

21           an encryption unit (43) for creating encrypted data, wherein the encryption  
22 unit can be installed with a high compression standard of AES 128-256 bits or a  
23 regular security standard complying with RSA, DES, 3DES, MD5, MD2, and  
24 SHA-1;

1           a system memory (44) for temporarily saving of a user ID of the net entry  
2   apparatus (40) and the random number test key, wherein the system memory can  
3   be formed by read-only memory, dynamic random access memory, and erasable  
4   programmable read-only memory.

5           Since the net entry apparatus (40) is equipped with a USB interface, it  
6   does not need a card reader as in those systems operated by a contact/non-contact  
7   memory cards, IC cards, smart cards, etc. Since most personal computers and  
8   notebook computers can support a USB interface, and the net entry apparatus (40)  
9   is compatible with an HID interface, the net entry apparatus (40) has plug-and-  
10   play characteristics, that means the authentication system can be up and running  
11   without needing software drivers, making it simpler to operate than conventional  
12   contact/non-contact memory cards, IC cards, or smart cards.

13          The present invention is also characterized in that each net entry apparatus  
14   has a unique digital signature, representing the user ID that cannot be duplicated.  
15   Each net entry apparatus is embedded with a private key that contains a long bit  
16   string that is burnt into the processor using a chip programmer. After writing in the  
17   necessary data, a large current is applied on the I/O pins of the chip to break off all  
18   connection points to make the chip isolated from outside circuits. In the key  
19   burning process, only the authentication server possesses a copy of the private key  
20   corresponding to the private key in the net entry apparatus. The only way to obtain  
21   the user ID stored in the net entry apparatus is to use a computer with a USB  
22   connection interface to read off the data from the net entry apparatus that has to be  
23   decrypted with the private key.

24          For extra protection and for users accustomed to the conventional

1 authentication systems, an initial password can be used to activate the net entry  
2 apparatus, which is not to be transmitted over the network. An initial password is  
3 only required when the net entry apparatus links up with a host computer, and only  
4 when the initial password check is passed is the net entry apparatus then able to  
5 make a request to access an application server.

6         From the above description, the design of the net entry apparatus, in  
7 accordance with the present invention, is also suitable for many different  
8 applications, such as checking of player identification in network games, secured  
9 electronic documents for government offices, secured electronic banking services  
10 and electronic commerce, management of a patient's medical history, and  
11 authentication of user access to national and military entities.

12         The foregoing description of the preferred embodiments of the present  
13 invention is intended to be illustrative only and, under no circumstances, should  
14 the scope of the present invention be so restricted.